PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Substitute for form 1449A/PTO

2

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of

Complete if Known						
Application Number	10/661,361	_				
Filing Date	September 12, 2003	_				
First Named Inventor	David A. Mackiewicz					
Art Unit	3731	_				
Examiner Name	Unassigned					
Attorney Docket Number	ENDOS 64949					

				U.S. PAT	TENT DOCUMENTS		
Examiner Initials		Cite No.1	Document Number  Number Kind Code  (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	EH		US- 5,607,442	03-04-1997	Fischell et al.		
			US- 5,613,981	03-25-1997	Boyle et al.		
		•	US- 5,628,787	05-13-1997	Mayer		
			US- 5,632,771	05-27-1997	Boatman et al.		
		US- 5,669,932	09-23-1997	Fischell et al.			
		US- 5,718,724	02-17-1998	Goicoechea et al.			
			US- 5,725,570	03-10-1998	· Heath		
#			U\$- 5,741,327	04-21-1998	Frantzen		
			US- 5,824,045	10-20-1998	Alt	· · · · · · · · · · · · · · · · · · ·	
			US- 5,919,126	07-06-1999	Armini		
			US- 5,954,743	09-21-1999	Jang		
			US- 6,010,445	01-04-2000	Armini et al.	-	
			US- 6,015,429	01-18-2000	Lau et al.		
			US- 6,017,362	01-25-2000	Lau		
		•	US- 6,022,374	02-08-2000	Imran	·	
			US- 6,027,526	02-22-2000	Limon et al.		
			US- 6,042,605	03-28-2000	Martin et al.		
			US- 6,051,020	04-18-2000	Goicoechea et al.		
$\mathbf{L}$	1		US- 6,086,604	07-11-2000	Fischell et al.		
V	T		US- 6,086,611	07-11-2000	Duffy et al.		

				FOR	EIGN PATENT DOC	UMENTS		
Examiner	Cite		oreign Patent Do	ocument	Publication Date	Name of Patentee or	Pagas, Columns, Lines,	T
Initials	No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (# known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	148
				. •				
								$\vdash$
								1
								+
					•			╁┈
							· · · · · · · · · · · · · · · · · · ·	1
							:	

Examiner Signature	/Elizabeth Houston/	Date Considered	03/01/2007

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute	for form 1449A	/PTO			Complete If Known					
					Application Number	10/661,361				
INFO	RMATIC	)N D	ISCL	OSURE	Filing Date	September 12, 2003				
STA	TEMENT	BY	<b>APP</b>	LICANT	First Named Inventor	David A. Mackiewicz				
<b>—</b> • • •					Art Unit	3731				
(	(use as many	sheets	es nece:	ssary)	Examiner Name	Unassigned				
Sheet	2	o		2	Attorney Docket Number	ENDOS 64949				

			U.S. PAT	ENT DOCUMENTS	
Examiner nitials*	Cite No.1	Document Number  Number Kind Code (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
EH		US- 6,099,561	08-08-2000	Alt	
		US- 6,133,628	10-17-2000	Dawson	
$\neg \neg$		US- 6,165,213	12-26-2000	Goicoechea et al.	·
		US- 6,206,916 B1	03-27-2001	Furst	
$\Box$		US- 6,325,825 B1	12-04-2001	Kula et al.	
$\neg \neg$	•	US- 6,334,871 B1	01-01-2002	Dor et al.	
		US- 6,402,777 B1	06-11-2002	Globerman et al.	
	٠.	US- 6,409,752 B1	06-25-2002	Boatman et al.	
		US- 6,451,049 B2	09-17-2002	Vallana et al.	
		US- 6,464,720 B2	10-15-2002	Boatman et al.	
		US- 6,464,723 B1	10-15-2002	Calloi	
77		US- 6,513,271 B2	02-02-2003	Hogan	
V		US- 6,520,983 B1	02-18-2003	Colgan et al.	
		US-			:
		US-			
		US-	•		
		US-			i

				FORE	IGN PATENT DOC	UMENTS		
5			oreign Patent Do	cument	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials	Cite No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)	MM-OD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	` <b>⊤</b> 6.
								T
			•			•		

Examiner Signature	/Elizabeth	Houston/	Date Considered	0	3/01/2007	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English long tree Translation is etteched. English language Translation is attached.

English language Translation is attached.

This collection of Information is required by 37 CFR 1.97 and 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer.

U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FE2S OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

SOOR PO NAL:

(Use as many sheets as necessary)

of

PTO/SB/08a (07-05)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE espond to a collection of information unless it contains a valid OMB control number.

Sheet

Examiner

Signature

ORMATION DISCLOSURE

STATEMENT BY APPLICANT

Complete if Known **Application Number** 10/661,361 September 12, 2003 Filing Date David A. Mackiewicz, et al. First Named Inventor

Art Unit 3731

**Examiner Name** Unassigned Attorney Docket Number ENDOS-64949

			U.S	S. PATE	ENT DOCUM	ENTS	·		
xaminer nitials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (if known)</sup>	Publication (	Date	Name	of Patentee or of Cited Document	Pa Re	ges, Columns, Lines, Where levant Passages or Relevant Figures Appear	
EH	<del></del>	US- 6,503,271 B1	01/07/2003		Thomas Duer	io et al	+	·	
		US-				.64 4.4	+	· · · · · · · · · · · · · · · · · · ·	
		US-					<del> </del>		
		US-		-			+		
		US-		- +	-		<del>                                     </del>		
		US-				<del></del>	<del> </del>		_
		US-					1		
		US-					1		
		US-					1		
		US-							
		US-							
		US-							
		US-						•	
		US-							
		US-							
		US-							
]		US-							
		US-							
		US-							
		US-							
			FOR	EIGN P	ATENT DOC	UMENTS	·		
		Foreign Patent Do				Name of Patent		Pages, Columns, Lines, Where Relevant	Т
Examiner nitials*	Cite No.1	Country Code <sup>3</sup> Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)		cation Date -DD-YYYY	Applicant of Cited D		Passages or Relevant Figures Appear	<u> </u>
į								<u> </u>	$\perp$
1									
									Ι
									Ι
								·	L
									L
									丄
						1			1

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Oraw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

/Elizabeth Houston/

Date

Considered

03/01/2007

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

03/01/2007

Considered

Approved for use through 07/31/2003 OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Complete if Known tute for form 1449A/PTO 10/661,361 Application Number September 12, 2003 Filing Date INFORMATION DISCLOSURE David Mackiewicz First Named Inventor STATEMENT BY APPLICANT 3731 Art Unit Elizabeth Houston **Examiner Name** (use as many sheets as necessary) Sheet of ENDOS 64949 (4164P) Attorney Docket Number U.S. PATENT DOCUMENTS Document Number Name of Patentee or Pages, Columns, Lines, Where Examiner **Publication Date** Cite Applicant of Cited Number Kind Code Relevant Passages or Relevant MM-DD-YYYY Initials\* No Document Figures Appear 2006/0241741 10 26 2006 EH D. Lootz

				FOREIGN	PATENT DOCUM	1ENTS		
Examiner Initials*	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines	_
	No	Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T⁵
							<u> </u>	
							·	
			_	i				J
Examiner				Date	02/01/2007			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicants unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

/Elizabeth Houston/

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature